

Substitute for form 1449A/PTO (modified)		Application Number	10/726244
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	December 2, 2003
(See as many sheets as necessary)		First Named Inventor	John J. Simbal
		Art Unit	2875
		Examiner Name	
		Attorney Case Number	59121US002

APR 15 2004
PATENT & TRADEMARK OFFICE

Page 1 of 2

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
MJS	A1	US- 5,227,008	07/13/1993	Klun et al.	
MJS	A2	US- 5,299,222	03/29/1994	Shannon et al.	
MJS	A3	US- 5,574,817	11/12/1996	Henson et al.	
MJS	A4	US- 5,611,017	03/11/1997	Lee et al.	
MJS	A5	US- 5,808,794	09/15/1998	Weber et al.	
MJS	A6	US- 5,882,774	03/16/1999	Jonza et al.	
MJS	A7	US- 5,967,653	10/19/1999	Miller et al.	
MJS	A8	US- 6,224,216 B1	05/01/2001	Parker et al.	
MJS	A9	US- 2001/0001207	05/17/2001	Shimizu et al.	
MJS	A10	US- 2001/0010449	08/02/2001	Chiu et al.	
MJS	A11	US- 2002/0024055 A1	02/28/2002	Uemura et al.	
MJS	A12	US- 2002/0113244	08/22/2002	Barnett et al.	
MJS	A13	US- 2002/0176251 A1	11/28/2002	Plank et al.	
MJS	A14	US- 2003/0001488	01/02/2003	Robert C. Sundahl	
MJS	A15	US- 2003/0052594	03/20/2003	Matsui et al.	
MJS	A16	US- 2003/0057421	03/27/2003	Tzer-Perng Chen	
MJS	A17	US- 6,560,038 B1	05/06/2003	Parkyn, Jr. et al.	
MJS	A18	US- 6,603,258 B1	08/05/2003	Mueller-Mach et al.	
MJS	A19	US- 2003/0142500 A1	07/31/2003	Bachl et al.	
MJS	A20	US- 2003/0178627	09/25/2003	Marchl et al.	
MJS	A21	US- 6,692,250	02/17/04	Decaudin et al.	

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		Ctry. Code	Number-KindCode (if known)				
MJS	B1	DE	201 11 814 U1	12/20/2001			Abstract
MJS	B2	EP	1 260 196 A2	11/27/2002			Abstract
MJS	B3	JP	07240536 A	09/12/1995			Abstract

*Examiner:	<i>M. Stahl</i>	Date Considered:	<i>2/2/2006</i>
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First Named Inventor

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Art Unit

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
MJS	C1	Hsu, J.T. et al., "Design of multi-chips LED module for lighting application", <i>Solid State Lighting II, Proceedings of SPIE</i> (2002), Vol. 4776, pp. 26-33.
MJS	C2	Serial No. 10/317,734 filed 12/02/2002 as our Docket No. 57755US002 having Title - Optical fiber or Waveguide Lens
MJS	C3	Serial No. 60/430,230 filed 12/02/2002 as our Docket No. 58130US002 having Title - Illumination System Using a Plurality of Remote Light Sources
MJS	C4	Serial No. 60/443,232 filed 01/27/2003 as our Docket No. 58390US002 having Title - Methods of Making LED-excited Phosphor- based Light Sources
MJS	C5	Serial No. 60/443,235 filed 01/27/2003 as our Docket No. 58388US002 having Title - LED-excited Phosphor-based Light Sources with Front Illumination
MJS	C6	Serial No. 60/443,274 filed 01/27/2003 as our Docket No. 58389US002 having Title - LED-excited Phosphor-based Light Sources
MJS	C7	Serial No. 10/670,630 filed 09/25/2003 as our Docket No. 58385US002 having Title - Lensed Optical Fiber and Method for Making the Same
MJS	C8	Serial No. 10/726,222 filed 12/02/2003 as our Docket No. 58130US004 having Title - Illumination System Using A Plurality Of Light Sources
MJS	C9	Serial No. 10/726,997 filed 12/02/2003 as our Docket No. 58389US004 having Title - Phosphor Based Light Sources Having a Polymeric Long Pass Reflector
MJS	C10	Serial No. 10/727,220 filed 12/02/2003 as our Docket No. 59333US002 having Title - Illumination Assembly
MJS	C11	Serial No. 10/726,225 filed 12/02/2003 as our Docket No. 59349US002 having Title - Solid State Light Device
MJS	C12	Serial No. 10/726,248 filed 12/02/2003 as our Docket No. 59376US002 having Title - Multiple LED Source and Method for Assembling Same
MJS	C13	Serial No. 10/727,072 filed 12/02/2003 as our Docket No. 59416US002 having Title - Phosphor Based Light Sources Having a Non-Planar Long Pass Reflector
MJS	C14	Serial No. 10/726,257 filed 12/02/2003 as our Docket No. 59432US002 having Title - LED Curing Apparatus and Method
MJS	C15	Serial No. 10/727,023 filed 12/02/2003 as our Docket No. 58390US004 having Title - Methods of Making Phosphor Based Light Sources Having an Interference Reflector

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M. Stahl

Date Considered: 2/2/2006

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MJS	A1	US-	US6290382	9/18/01	Bourn et al.	
MJS	A1	US-	US6236382	5/22/01	Kawakami et al.	
MJS	A2	US-	US6395564	5/28/02	Huang	
	A3	US-				
	A4	US-				
	A5	US-				
	A6	US-				
	A7	US-				

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MJS	B1	EP	0303741	2/22/89			
MJS	B1	EP	1108949	6/20/01			
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MJS	A1	US- 6,140,248- 5146248	09-08-1992	Duwaer	
MJS	A2	US- 5,420,768	05-30-1995	Kennedy	
MJS	A3	US- 5,634,711	06-03-1997	Kennedy et al.	
MJS	A4	US- 2004/008952	01/15/04	Kragl	
MJS	A5	US- 2004/0164325 A1	08-26-2004	Siegel	
MJS	A6	US- 2004/0166249 A1	08-26-2004	Siegel	
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	A8	US-			
	A9	US-			

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MJS	B1	EP	1 241 510	9/18/02			
MJS	B2	WO	WO 2002/054129 A1 English Abstract	07/11/02			X
MJS	B3	WO	WO 03/096387 A2	11-20-2003			
MJS	B4	WO	WO 2004/081475 A2	09-23-2004			
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	A1	US- 5,146,248	9/08/92	DuWaer et al.	
MJS	A2	US- 5,293,437	03/08/1994	Nixon	
MJS	A3	US- 6,406,172	6/18/02	Harbers et al.	
MJS	A4	US- 6,340,824	01/22/02	Komoto et al.	
MJS	A5	US- 2003/0042493	03/06/03	Kazakevich	
MJS	A6	US- 2004/0262053	12/30/04	Ludewig et al.	
	A7	US-			
	A8	US-			

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		Ctry. Code	Number-KindCode (if known)				
MJS	B1	EP	1 081 771	3/07/01			
MJS	B2	EP	EP 0 249 934 A	12/23/87			
MJS	B3	EP	EP 0490 292 A	6/17/92			
MJS	B4	WO	WO 03/077013	9/18/03			
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*Examiner: M. Stahl

Date Considered: 20/12/2006

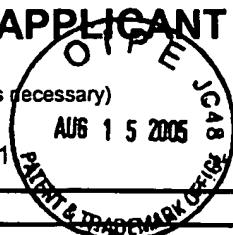
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MJS	A1	US- 3,825,335		07/1974	Reynolds, Robert	
MJS	A2	US- 5,301,090		4/1994	Hed, Aharon Z.	
MJS	A3	US- 6,402,347		06/2002	Maas et al.	
MJS	A4	US- 6,527,411		03/2003	Sayers, Edwin Mitchell	
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	A6	US-				
	A7	US-				
	A8	US-				

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*Examiner:

M. Shultz

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MJS	A1	US- 5,567,032		10/22/1996	Heizmann	
MJS	A2	US- 5,713,654		02/03/1998	Scifres	
MJS	A3	US- 6,045,240		04/04/2000	Hochstein	
MJS	A4	US- 6,402,347		6/11/02	Maas et al.	
MJS	A5	US- 6,414,801 B1		07/02/2002	Roller	
MJS	A6	US- 6,821,143 B2 (Equiv. of FR2840151)		11/23/2004	Gasquet et al.	
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MJS	B1	DE	199 23 187 A1	11/23/2000			
MJS	B2	DE	201 20 770 U1	05/02/2002			Abstract only
MJS	B3	DE	101 10 835 A1	09/19/2002			Abstract only
MJS	B4	DE	101 34 381 A1	01/23/2003			Abstract only
MJS	B5	DE	101 62 404 A1	07/03/2003			Abstract only
MJS	B6	EP	1 067 332 A2	01/10/2001			Abstract only
MJS	B7	WO	01/59360 A1 (Equiv. of DE10005795)	08/16/2001			Abstract only
MJS	B8	WO	02/054129	07/11/02			
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*Examiner: *M. Stahl* Date Considered: 2/2/2006

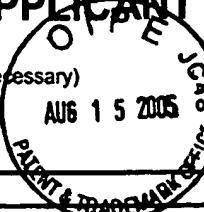
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MJS	A4	US- 6/075,595	6/13/2000	Malinen	
MJS	A5	US- 2003/0117691	6/26/03	Bi et al.	
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MJS	A7	US- 2004/0106968	6/03/04	Yamada	

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	A2	US- 2003/0052594	3/20/03	Matsui et al.	
MJS	A3	US- 2003/0189829	10/09/03	Shimizu et al.	
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	A5	US-			
	A6	US-			
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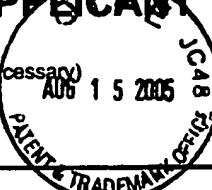
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MJS	C1	Žukauskas et al, <u>Introduction to Solid-State Lighting</u> , John Wiley & Sons, Inc., New York, 2002, pp. 166-167.	
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